



Ohm Dovetail

Private Limited

COMPLAINTS DATA

PREFACE:

SEBI has issued Circular No. SEBI/HO/MIRSD/DOP/CIR/P/2021/676 dated December 02, 2021, regarding publishing Investor Charter and disclosure of Investor Complaints by Stock Brokers on their websites.

Accordingly, as per the Annexure B of the said circular, the details are as below.

Data for the month ending - March 2023

| Sr. No. | Received Form | Pending at the end of last month | Received | Resolved* | Total Pending# | Pending complaints >3 months | Average Resolution time^ (in days) |
|---------|-------------------------|----------------------------------|----------|-----------|----------------|------------------------------|------------------------------------|
| 1 | Directly from investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (Scores) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchange | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month



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Trend of monthly disposal of complaints for the financial year (2022-23)

| Sr. No. | Month | Carried Forward from the previous month | Received during the month* | Resolved during the month* | Pending at the end of the month** |
|---------|--------|---|----------------------------|----------------------------|-----------------------------------|
| 1 | Apr-22 | 0 | 0 | 0 | 0 |
| 2 | May-22 | 0 | 0 | 0 | 0 |
| 3 | Jun-22 | 0 | 0 | 0 | 0 |
| 4 | Jul-22 | 0 | 0 | 0 | 0 |
| 5 | Aug-22 | 0 | 0 | 0 | 0 |
| 6 | Sep-22 | 0 | 0 | 0 | 0 |
| 7 | Oct-22 | 0 | 0 | 0 | 0 |
| 8 | Nov-22 | 0 | 0 | 0 | 0 |
| 9 | Dec-22 | 0 | 0 | 0 | 0 |
| 10 | Jan-23 | 0 | 0 | 0 | 0 |
| 11 | Feb-23 | 0 | 0 | 0 | 0 |
| 12 | Mar-23 | 0 | 0 | 0 | 0 |

* Inclusive of complaints of previous months resolved in the current month.

** Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

| Sr. No. | Month | Carried forward from previous month | Received | Resolved* | Pending# |
|---------|---------|-------------------------------------|----------|-----------|----------|
| 1 | 2018-19 | 0 | 0 | 0 | 0 |
| 2 | 2019-20 | 0 | 0 | 0 | 0 |
| 3 | 2020-21 | 0 | 0 | 0 | 0 |
| 4 | 2021-22 | 0 | 0 | 0 | 0 |
| 5 | 2022-23 | 0 | 0 | 0 | 0 |

* Inclusive of complaints of previous years resolved in the current year.

** Inclusive of complaints pending as on the last day of the year.