



# Ohm Dovetail

## Private Limited

### COMPLAINTS DATA

#### PREFACE:

SEBI has issued Circular No. SEBI/HO/MIRSD/DOP/CIR/P/2021/676 dated December 02, 2021, regarding publishing Investor Charter and disclosure of Investor Complaints by Stock Brokers on their websites.

Accordingly, as per the Annexure B of the said circular, the details are as below.

#### Data for the month ending – October 2023

Sr. No.	Received From	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints >3 months	Average Resolution time^ (in days)
1	Directly from investors	0	0	0	0	0	0
2	SEBI scores	0	0	0	0	0	0
3	Stock Exchange	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month



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## Trend of monthly disposal of complaints for the financial year (2023-24)

Sr. No	Month	Carried forward from the previous month	Received during the month*	Resolved during the month*	Pending at the end of the month**
1	Apr-23	0	0	0	0
2	May-23	0	0	0	0
3	June-23	0	0	0	0
4	July-23	0	0	0	0
5	Aug-23	0	0	0	0
6	Sep-23	0	0	0	0
7	Oct-23	0	0	0	0

\* Inclusive of complaints of previous months resolved in the current month.

\*\* Inclusive of complaints pending as on the last day of the month.

## Trend of annual disposal of complaints

Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	0	0	0
4	2021-22	0	0	0	0
5	2022-23	0	0	0	0

\* Inclusive of complaints of previous years resolved in the current year.

\*\* Inclusive of complaints pending as on the last day of the year.